

09 - 021 - 01

10944 U.S. PTO
08/31/01

GIRARD & EQUITZ LLP
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Address to:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SII-600

First Named Inventor JAMES LYLE

11000 U.S. PTO
09/945278
08/31/01

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:

METHOD AND APPARATUS FOR ENCRYPTING DATA TRANSMITTED OVER A SERIAL LINK

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date August 31, 2001, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL 884991105 US addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Marsha A. Townsend

(Name of person mailing paper)

Marsha A. Townsend

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 63 Pages of specification (including claims and abstract);
 - ii. 6 Sheets of drawings.
- formal ☐ informal ☒
3. Declaration or oath
 - a. ☒ Original - [UNSIGNED]
4. ☐ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

ACCOMPANYING APPLICATION PARTS

6. ☐ An assignment of the invention to is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
7. ☐ Power of Attorney
8. ☒ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of 4 references.
9. ☐ Preliminary Amendment.
10. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. ☒ Other - Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

12. FOREIGN PRIORITY

☐ Priority of application no. _ filed on _ in _ is claimed under 35 USC 119.

13. FEE CALCULATION

a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$710)
Total Claims	61 - 20	* 41	x \$18.00	738.00
Independent Claims	39 - 3	*36	x \$80.00	2,880.00
_ Multiple dependent claim(s), if any			\$270.00	0

*If less than zero, enter "0".

Filing Fee Calculation

\$4,328.00

50% Filing Fee Reduction (if applicable) \$

14. Small Entity Status

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

15. Other Fees

☐ Recording Assignment [\$40.00] \$
☐ Other fees \$
Specify

Total Fees Enclosed \$4,328.00

16. Payment of Fees

- ☒ A check in the amount of \$4,328.00 is enclosed.
☐ Charge Account No. 50-1697 in the amount of \$_.
A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Alfred A. Equitz
GIRARD & EQUITZ LLP
400 Montgomery Street, Suite 1110
San Francisco, CA 94104
Telephone: 415/433-2250
Facsimile: 415/433-2255

18. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 50-1697. **A duplicate of this transmittal is attached.**

GIRARD & EQUITZ LLP

August 31, 2001
(Date)

Attorney Docket No. SII-600

By:

Alfred A. Equitz
Alfred A. Equitz
Registration No. 30,922
Attorney(s) or Agent(s) of Record

Express Mail Number EL 884991105 US

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	JAMES LYLE
Title	METHOD AND APPARATUS FOR ENCRYPTING DATA TRANSMITTED OVER A SERIAL LINK
Atty Docket Number	SII-600

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 31, 2001

Date

Alfred A. Equitz
Signature

ALFRED A. EQUITZ, Reg. No. 30,922

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.